MAN 2 8 JULY W

Docket No.: JCLA4827-CIP (5)

**PATENT** 

IN THE UNFEED STATES PATENT AND TRADEMARK OFFICE

In re application of: BIH-TIAO LIN et al.

Application No.: 10/055,157

Filed: October 22 2001

For: FABRICATION METHOD OF

SHALLOW TRENCH ISOLATION

Examiner: MAI, ANH D.

Certificate of Mailing

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

May 14, 2002

(Date)

Jiawei Huang, Reg. No. 43,330/

#### TRANSMITTAL LETTER AND COMMUNICATION

RECEIVED

MAY 3 1 2002

OFFICE OF PETITIONS

**BOX MISSING PARTS**ASSISTANT COMMISSION

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(b), which was mailed by the Patent and Trademark Office on February 15, 2002, enclosed are:

	English Translation including Drawings of the application in page Certified Copies of Application No page of Verified Statement of Priority Document Translation.  A Declaration and Power of Attorney executed by inventor(s).  An extension of time to respond for _1_ month(s) is hereby requested.  The Extension Fee:  (X) One month (\$110 large entity)  Two months (\$400 large entity)  Three months (\$920 large entity)	
(X) (X) ( )	Notification of loss entitlement to small entity status.  A copy of Notice to File Missing Parts of Application.  Information Disclosure Statement with references  Request for refund	
	HER ITEMS Return prepaid postcard.	OPY OF PAPERS RIGINALLY FILED

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### (X) Fees as calculated below:

Surcharge for Declara	\$ 130	
[1] month time exter	\$ 110	
For a large entity:	TOTAL FILING FEE	\$ 240

- (X) A check in the amount of \$ 240 cover the above fees is enclosed.
- (X) A check in the amount of \$ 370 to cover the other half of filing fee previously filed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 50-0710 (Order No. JCLA4827-CIP). A duplicate copy of this sheet is enclosed.

Respectfully submitted, J.C. PATENTS,

Jiawei Huang

Registration No. 43,330

Dated: 5/14/2002

4 Venture, Suite 250 Irvine, Ca. 92618 (949) 660-0761





PATENT Docket No. JCLA4827-CIP page 1

#### IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

In re application of:

Application No. : 10/055, 157

Filed: October 22,2001

For: FABRICATION METHOD OF SHALLOW TRENCH ISOLATION

Examiner : MAI, ANH D.

Art Unit : 2814

## NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS 37 C.F.R. § 1.28(b)

MAY 3 1 2002
OFFICE OF PETITIONS

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicant hereby notifies the Patent and Trademark Office that the above-identified patent application is not entitled to status as a small entity and that the claim for small entity status set forth in the filing transmittal filed on October 22, 2001 was a clerical error. Enclosed an additional fee of \$370 to cover the other half of filing fee.

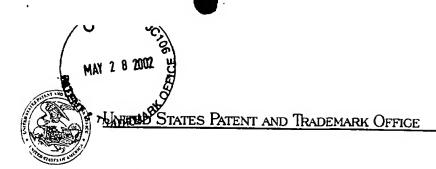
Date: 5/14/2002

J.C. Patents 4 VENTURE, SUITE 250 IRVINE, CALIFORNIA 92618 (949) 660-0761 Respectfully submitted,

Jiawei Huang

Registration No. 43,330

JUFY OF PAPERS ORIGINALLY FILED



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/055,157

10/22/2001

Bih-Tiao Lin

JCLA4827-CIP

**CONFIRMATION NO. 8975** 

FORMALITIES LETTER

\*OC000000007487469\*

J.C. Patents Suite 250 4 Venture Irvine, CA 92618

Date Mailed: 02/15/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

MAY 3 1 2002 DEFICE OF DEPARTMENT

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ldjustment date: 05/29/2002 CCHAU1 11/30/2002 VTRUONG1 00000035 10055157

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